Dear Prospective Client,

Thank you for your interest in Solis Wealth Management!

We are confident that once you thoroughly analyze our mission, vision, commitment creed, core values, team, and processes, you will quickly realize that there are many things that set us apart.

Having worked in the financial industry since 1992, we have found that it's very beneficial to provide as much information as possible about who we are and what you can expect. In the enclosed package, our aim is to clearly explain and review the following points before our scheduled meeting:

- Who we are and what drives us.
- Why we do the things we do and how we do it.
- Our core values that shape and drive every decision that we make.
- Our values proposition and what makes us different.
- What to expect in meeting with us.

Additionally, we invite you to visit our website at https://soliswealth.com/testimonials/ , where you'll find video and written testimonials from clients who have experienced our services firsthand.

We can assure you that the process of determining whether we are a good fit for you will be entirely different from anything you have experienced in the past or might expect. This distinction not only lies in our unique approach but also in our unwavering commitment to professionalism, integrity, trust, and complete transparency. *Our primary goal is to help you accomplish what you value most in life.*

After reviewing the information and if you feel that we might be a good fit, we invite you to contact our office to schedule an introductory meeting. This meeting can take place either in person at our office, over the phone, or through Zoom. Together, we can determine whether we can help or add value to your current situation*.

Thank you again for considering Solis Wealth Management.

Sincerely,

Greg R. Solis
Founder and CEO

**For the benefit of our existing clients, we only bring on 12-15 new clients per year. Clients with investable assets under $1,000,000 will be reviewed and considered on a case-by-case basis.*

The Wealth Advisors of Solis Wealth Management are also Registered Representatives with and securities and advisory services are offered through LPL Financial, a Registered Investment Advisor. Member FINRA/SIPC.



SOLIS

WEALTH MANAGEMENT, INC.

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SWM SOLIS
WEALTH

Who We Are

Our mission is to help our clients make smart decisions with their money, achieve financial success and accomplish what they value most in life. Our desire is to provide individuals with the absolute best advice and service available in the financial industry, and do it with the highest level of competence and integrity.

Solis Wealth Management is an independent financial firm established to put our clients' best interest first by strictly adhering to our mission statement, vision statement and commitment creed—governing values that drive our daily life. Everything we do at Solis Wealth Management must be compatible with them. We are keenly aware that the guidance we are able to provide every day is a blessing and a privilege, and we want to steward it to the best of our ability.

To accomplish this, we believe that we cannot be solely focused on ourselves, but must also be an "other-centric" organization that is driven to make a difference in people's lives. We understand that money is a tool to help you confidently pursue what you value most, and we are passionate about helping you transition from financial independence to personal significance.

"We approach every day with an attitude of gratitude for our clients' ongoing trust and confidence."





What Makes Us Different

In addition to our commitment to providing the best service and advice to our clients, we also strive to extend our commitment to excellence to each other, our families and our community. We truly want to have a positive impact on the world around us.

At Solis Wealth Management, we are driven by much more than money. Our clients can attest that we are dedicated to understanding your desires, passions, values and goals. We want you to take full advantage of our comprehensive service, knowledgeable guidance, deep experience and unwavering integrity to help navigate you toward personal significance.

We invite you to consider working with a team that has a sincere desire to connect with you in a way that strives to give you financial confidence that goes beyond your own understanding. To gain your trust and ensure that our dedication to your financial well-being never wavers, we pursue excellence in four key areas:

Service

Our goal is to not only have satisfied clients, but "raving fan" clients. We believe that good service is a reflection of how much we care. You are never an interruption of our work; you are the purpose of it. We create and maintain systems and procedures that allow us to respond to your requests, as well as try to proactively anticipate your needs.

Advice

It is critical that we advise and help you make well-informed decisions with your money, so you can pursue those things you value most in life. We want you to know that you have an ally on your side of the table who is passionate about helping you navigate from point A to point B. You will be faced with many decisions over the coming years, and we pledge to be here for you every step of the way to help ensure you don't get derailed.

Competence

A crucial component of our business is to remain informed of industry trends, and to increase our knowledge and innovation. We believe that operating at the highest level of competence is essential to your financial well-being. We understand that staying on the cutting edge will help increase your odds of success as we assist you with making well-informed decisions throughout our working relationship.

Integrity

At the end of the day, we believe you will gain more confidence if you are absolutely convinced that our team is working at the highest level of integrity. This means that we are only doing what is in your best interest, not our own. We define character as doing the right thing for the right reasons when nobody is watching, and we triple check ourselves daily to ensure we are committed to upholding impeccable character—regardless of the cost.

What We Offer

As our mission statement clearly states, our goal is to help you make smart decisions with your money and accomplish the things you value most in life. Therefore, we create and maintain a comprehensive financial plan that addresses the following:

Asset Management. Through our access to a robust research department and thousands of different investment vehicles (including but not limited to stocks, bonds, mutual funds, ETFs, annuities and alternative investments), we will develop a customized asset allocation portfolio specifically designed to address your needs and goals, while staying within your risk tolerance. We believe that being disciplined in our approach toward strategic and tactical asset management will help give you a good probability of managing risk and capturing a reasonable rate of return.

Retirement Planning. Whether you are already retired or working toward retirement, we analyze your situation and provide strategies designed to help you build long-term sustainability.

Estate Planning. By working directly with your estate planning attorney, or referring you to one of our outside strategic legal resources* we will establish a plan that may help you bypass probate, manage taxes and assist with transferring wealth to your loved ones or meaningful charities.

Trust Services. Through The Private Trust Company, N.A., an affiliate of LPL Financial, we can provide you with access to comprehensive trust services customized for your needs. These services include revocable trusts, charitable remainder trusts and irrevocable life insurance trusts.

Insurance Services. We will review your life, long-term care and disability insurance to ensure you have adequate coverage. Plus, through our strategic insurance resources, we can also offer strategies for medical insurance, property and casualty insurance, and supplemental Medicare insurance.

Tax Strategies. Although we are not able to give tax advice, we will work closely with your CPA or tax advisor to help manage your tax liability.

*Legal services are not offered by LPL Financial or Solis Wealth Management
Asset management offered through LPL Financial, a Registered Investment Advisor



The financial consultants of Solis Wealth Management are affiliated with LPL Financial, the nation's largest independent broker/dealer.* This relationship provides us withaccess to independent research and a comprehensivearray of tools, resources and technology. Because LPL Financial has no proprietary products to sell, we have the freedom to offer you personalized financial guidance and investment recommendations.

*As reported by *Financial Planning* magazine, June 1996-2021, based on total revenue.

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It would be our pleasure to help you make well-informed decisions as you pursue financial independence and strive to accomplish what you value most in life. Please contact us at 760.771.3339 to learn more about the benefits of our firm or schedule a no-obligation consultation.

www.soliswealth.com | 760.771.3339

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BIOGRAPHIES



Greg R. Solis, AIF®
Founder and CEO

Greg R. Solis is the Founder and CEO of Solis Wealth Management, Inc. Born and raised in Palm Desert, California, Greg earned a Bachelor of Arts degree in both Political Science and History. Greg began his career with Paychex Incorporated as a Sales Executive and was later promoted to District Sales Manager of Southern California out of the Los Angeles Branch. In 1992, Greg moved back to the Coachella Valley, married his wife Monica, and began his career as a financial advisor with Merrill Lynch. In 1994, Greg joined LPL Financial and was recognized for his outstanding achievements in client service. Before returning to LPL Financial in 2004, Greg served as Vice President at Wachovia Securities from 2001-2004. Greg has been recognized by Forbes magazine as one of the Best-in-State Wealth Advisors for California each year since 2018.* Greg was honored as an LPL Financial Chairman's Council advisor from 2011 to 2023 and was also named to the LPL Financial Summit Club in 2024, both distinctions are based on annual production and reserved for the top 2% of LPL advisors across the nation. Additionally, Greg received the honor of being selected from LPL Financial advisors nationwide to serve a multi-year term on the 24-member LPL Financial Advisor Council, a forum to help drive the development of upcoming LPL programs, communications, products, and services.

Greg's professional registrations include FINRA Series 7 (General Securities Representative), Series 24 (General Securities Principal), and Series 63 (Uniform State Law), which are currently held with LPL Financial. Greg also holds a California Life, Disability, and Variable Annuity Insurance License. He has received formal training in investment fiduciary responsibility and earned the Accredited Investment Fiduciary® (AIF®) professional designation.

Greg is a member of the UCLA Alumni Association, La Quinta Country Club, Hideaway Golf Club, and Theta Chi Alumni Association. He has also served on the Church at the Red Door Board of Trustees, National Center for Fathering Board, Desert Christian Academy Board of Trustees, Links Players International Board, the Love146 Board, Old Town Artisan Studio Board, the Fellowship of Christian Athletes Board, Festival of Life/LOV Movement Resource Committee, Boys and Girls Club Southwest Growers Committee, and the Eisenhower Medical Center Exploratory Committee.

When not helping his clients, Greg enjoys spending time with his wife, Monica; his three children: Jack, Nicole, and Emily; and friends. Greg and Monica currently reside in La Quinta, CA.

**The Forbes Best-In-State Wealth Advisor ranking, developed by SHOOK Research, is based on in-person and telephone due diligence meetings and a ranking algorithm that includes client retention, industry experience, review of compliance records, firm nominations; and quantitative criteria, including assets under management and revenue generated for their firms. Portfolio performance is not a criterion due to varying client objectives and lack of audited data. Neither Forbes nor SHOOK Research receives a fee in exchange for rankings.*

***As of 12/31/2020*

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BIOGRAPHIES

Tiffany Valentine, CFP®
CERTIFIED FINANCIAL PLANNER®
President and Wealth Advisor

Tiffany Valentine joined Solis Wealth Management in 2006 and currently serves as President and Wealth Advisor. Born in Michigan and raised in Beverly Hills, California, Tiffany moved to Palm Springs in 2003 to be closer to her then-fiancé (now husband), Chris.

Tiffany has been in the financial services industry since 2001. She holds her Series 7 and 66 registrations, currently with LPL Financial, along with a California Life, Disability, and Variable Annuity Insurance License obtained in 2002. Tiffany earned a Bachelor of Arts in Business with a concentration in Finance from California State University, San Bernardino, graduating Summa Cum Laude and as a member of the Beta Gamma Sigma business honor society. She also achieved the CERTIFIED FINANCIAL PLANNER® certification in 2016.

Outside of the office, Tiffany enjoys spending time with her husband, Chris, and their daughter, Avery, and son, Travis. She homeschools her children in the evenings and on weekends. You can usually find Tiffany immersed in a book, embarking on adventures in the family Jeep, or cheering on her son during his football games. Tiffany and her family reside in Indio, CA.

Luis Carrera, CFP®, AAMS®
CERTIFIED FINANCIAL PLANNER®
Wealth Advisor

Luis Carrera joined Solis Wealth Management in 2022 and serves as a Wealth Advisor. Originally from San Luis Potosí, Mexico, Luis began his career with an engineering degree from the Autonomous University of San Luis Potosí. After discovering his passion for investing, he pursued a business degree at the Jack H. Brown College of Business and Public Administration at California State University, San Bernardino.

Luis entered the financial services industry at Wells Fargo Advisors, where he completed his Series 7 and 66 registrations, currently held with LPL Financial, along with his California Life, Disability, and Variable Annuity Insurance License. He later joined Charles Schwab, spending over 15 years as a Vice President, assisting high-net-worth families in both Seattle, WA, and the Coachella Valley. During his tenure at Schwab, Luis acquired his Series 9/10 FINRA supervisory registrations, earned the Accredited Asset Management Specialist® (AAMS®) designation, and completed the Yale School of Management's Executive Education Program in "Investment Management Theory & Practice." His passion for helping clients achieve their financial goals led him to attain the CERTIFIED FINANCIAL PLANNER® (CFP®) designation after completing the Financial Planning Education Program through the College for Financial Planning.

Luis specializes in financial, college, tax, estate, insurance, and investment planning, with a dedication to guiding clients through all stages of their financial journeys.

Luis and his wife, Courtney, reside in Rancho Mirage, CA, with their daughter, Aidan, and son, Ethan. Outside of the office, Luis enjoys traveling with his family, listening to jazz, and spending quality time with loved ones.

Tami Salzer
Director of Operations and Wealth Advisor

Tami Salzer joined Solis Wealth Management in 2009 and is currently Director of Operations and Wealth Advisor. Born in Vancouver, British Columbia, she moved to Palm Springs in 1969, where she graduated from Palm Springs High School and later married her husband, Larry, in 1978. Tami joined Morgan Stanley in 1995 as a Senior Sales Assistant and worked there for 15 years before coming to Solis Wealth Management. Tami holds her Series 7 and 66 registrations, currently with LPL Financial. Tami enjoys spending her free time with her husband; three daughters, Salem, Morgan, and Lanay; her grandchildren; and her dog, Bailey.

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Jack Solis
Research and Planning Assistant

Jack Solis joined Solis Wealth Management in August 2025 as a Research and Planning Assistant. Born and raised in La Quinta, he earned his Bachelor of Science in Business Administration from California Baptist University before spending several years in San Diego. He later returned to the Coachella Valley to begin his career with the firm, bringing with him a diverse professional background that includes experience in professional golf, boat and yacht sales, and energy consulting with Sunrun. Having observed the firm's growth and development through his father, the founder of Solis Wealth Management, Jack gained a strong appreciation for the relationships established with clients and the meaningful role financial planning plays in their lives. This perspective ultimately inspired him to join the organization, where he now supports the firm's research and planning initiatives. Outside of work, Jack enjoys golfing, surfing, off-roading, and spending time with his girlfriend, Sydney, and their German Shepherd, Charlie.

Karissa Fortna
LPL Registered Administrative Associate

Karissa Fortna joined Solis Wealth Management in 2026 as an LPL Registered Administrative Associate. Originally from Riverside, California, she moved to Indio in 2009. Her background includes nine years of customer service experience in the wealth management industry. Karissa has passed the SIE, Series 7, and Series 66 exams, with her registrations held through LPL Financial. She is grateful to grow her career with a team that values relationships, service, and caring for others. Outside of the office, Karissa cherishes time with her husband, Grayson, and their three children, Vera, Booker, and Tilly. Their favorite moments are often the simplest ones—family movie nights, trips to Big Bear, and exploring the outdoors. Karissa also enjoys baking, cooking, and volunteering with a local foster closet that provides essentials to foster families throughout the Coachella Valley.

VonCile Graham
Client Service Associate and Executive Assistant

VonCile Graham joined Solis Wealth Management in 2017 as Director of Office Management and is currently a Client Service Associate and Executive Assistant. She was born and raised in the beautiful Coachella Valley. In 2003, VonCile partnered with her husband Dana in their motorcycle repair business where she gained extensive administrative and bookkeeping experience. In 2006, she joined a local retail shop on El Paseo where she worked for 11 years becoming skilled in management, marketing, and office administration. VonCile is an active member of her church, teaches Sunday School, and when she is not spending time with her friends and family, she is pursuing her interest in dance, fitness and Jeeping with her husband.

Debbie Hartley
Director of Office Management

Debbie Hartley joined Solis Wealth Management in 2017 as the Director of Client Relations and is currently the Director of Office Management. Debbie was born in Chicago, and her family moved to Palm Springs in 1975. After graduating from Palm Springs High School and attending college in Long Beach, Debbie returned to the desert where she worked at Eisenhower Medical Center for over 28 years and served as the Administrative Director of Medical Staff Services. More recently, she worked as the Concierge at Hideaway Golf Club before coming to Solis Wealth Management. Uniquely talented and recognized singers, Debbie and her husband, Tom, have enjoyed an extensive music ministry, including at Southwest Church and Desert Springs Church, where her husband served on the Pastoral staff specializing in worship and choral music.

Nancy Portolesi
Administrative Assistant

Nancy Portolesi joined Solis Wealth Management in December 2025 as the firm's Administrative Assistant. Born in Boston and raised in California, she moved to the Coachella Valley in 1969 and has been an active member of the community for more than five decades. She graduated from Indio High School, attended College of the Desert, and studied business administration at California State University, San Bernardino. Nancy began her career in construction administration and later transitioned to commercial real estate, where she served as an Office Manager with NAI Capital Commercial. She became a licensed commercial real estate agent in 2020 and also has experience in property management. Nancy has raised five children and is the proud grandmother of twelve and great-grandmother of three. She enjoys tennis, long walks, scuba diving, travel, and spending time with family and friends.

MISSION STATEMENT

Our mission is to provide comprehensive wealth management at the highest level of excellence, competence and integrity to support our clients in accomplishing what they value most in life. We believe that our passion to serve our clients, each other and the community will have an everlasting positive impact on the world around us.

www.soliswealth.com | 760.771.3339

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VISION STATEMENT

We see a sustainable business that is completely fulfilling a specific calling. Our professionalism, authenticity, integrity and sincerity is not only helping our clients accomplish what they value most in life, but also helping them move from personal success to personal significance. Our approach to holistic, proactive wealth management is making a significant positive impact in people's lives.

We see a large office space to provide for a thriving business that is financially very successful. Our team consists of several competent Associate Wealth Advisors that are committed to the highest standards of integrity and have complete buy-in to the mission and vision of the organization. There is a robust support staff that consists primarily of LPL registered assistants who are passionate about their roles and working in their positions of strength. Our respect and concern for each other goes beyond a typical business. The sum of all the individuals working toward a shared goal creates an extraordinary synergy that accomplishes great things and is a shining light in the world.

We see a business that understands the privilege and responsibility of making a positive impact in the world around them. This drives us to not only give generously, but also to provide office space and business infrastructure to local and international charities and ministries. Our intention is to give in a unilateral way that does not promote us, but answers to a higher calling. We understand that this positive impact could have everlasting significance.

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COMMITMENT CREED

We understand that without our clients, our business will not exist.

We understand that it is a blessing, a privilege and an opportunity to be able to do what we do every day for our clients, and we will approach every day with an attitude of gratitude.

Our clients are not an interruption of our work; they are our primary purpose.

We understand that our clients rely and depend on our ability to help them make smart decisions with their money. Therefore, we will stay committed to knowledge, innovation, and excellence to help them accomplish their goals and objectives.

We are committed to operating at the highest level of integrity to do only what is in our clients best interest.

We will stay committed to providing the absolute best service available in the financial industry.

We firmly believe that if we provide the best service and advice at the highest level of competence and integrity, we will help our clients accomplish what they value most in life and transition their personal success to personal significance.

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CORE VALUES

Integrity and Trust: We believe that integrity and trust are the most important characteristics that people should expect from us. We believe our word must be as good as gold; always let your yes be yes, and your no be no.

Positive Attitude: We believe that a positive attitude is derived from a grateful heart. We understand that it is a blessing and a privilege to do what we do every day and it is our intention to embrace each day with an attitude of gratitude. We understand that attitude could make or break a business, a church, or a family, so therefore, we will keep a positive, upbeat attitude.

Consider Others as Important as Yourself: We believe that this is the "Golden Rule." Our desire is not to be self-centered, but to be other-centered and have a servant's heart. Our goal is to be great listeners who are genuinely compassionate and try to understand how people think and feel. We believe that this will allow us to relate better to others and develop authentic relationships that will be sustainable and fruitful.

Stewardship: We believe that what we do is a gift and therefore, we have a deep desire to be good stewards of this responsibility. Team members, clients, charities, ministries, and our families deeply depend on us. We will approach our work daily as if we are "cathedral builders" and not just "brick layers." We believe that proper stewardship includes having a generous heart. We have been blessed, and therefore, we want to be a blessing to others.

Do the Right Thing for the Right Reasons – no matter the cost, no matter who's watching: We believe that this is the measure of an individual's true character. We live in a world and work in an industry that is full of conflicts of interest, greed, and quid pro quos. Our desire is to always do the right thing, regardless of the circumstances. There will be times where we may make decisions that are not in our best interest, but we will strive to do the right thing, regardless of the outcome.

Build a Great Team: We believe that the sum of all parts working together creates an extraordinary synergy that accomplishes great things. We are firm believers that having the right people working together in their positions of strength will not only create a culture of honor, but will also allow us to do an extraordinary job for our clients. Therefore, our intention is to hire exceptional individuals who share our values, and understand and embrace our Mission Statement, Vision Statement and Commitment Creed.

Lifelong Learning: We believe that in order to be competent, knowledgeable and the best at what we do, it is important to have a genuine desire to learn and grow. We strive to be innovative in our thinking, and we embrace learning as a privilege and necessity to excel and thrive both professionally and personally.

Have Fun: We work in an industry that can often be stressful and serious as many people rely on us to do a great job. Nevertheless, we believe in the importance of laughter and enjoying each other's company. It is our intention to balance our professionalism and desire for excellence with fun, laughter and joy. We believe it's important to take the time to laugh together, pray for one another, serve together and generally do life together.

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WHAT TO EXPECT FROM OUR PLANNING PROCESS

First Step: Introductory Meeting

This initial meeting, which may be in person or over the phone, is designed to address any questions or concerns you may have in regard to the services we provide, how we deliver our services, our core values, compensation, etc. Typically, this meeting is with the lead advisor. During this meeting we want to determine three things:

1. Can we truly help and add value to your situation?
   If we are unable to help you or add value to your situation, we do not want the business. News travels fast if we are earning a commission or fee and not adding value.

2. Can we establish a mutually beneficial relationship?
   In other words, if we are able to add value, are we able to be fairly compensated for our services? To not be fairly compensated would create a win/lose relationship. The new client wins, but our existing clients, staff and families lose. We have learned that a win/win situation is the healthiest and most gratifying relationship that will stand the test of tumultuous markets and time.

2. Can we work with you?
   We are interested in building good, solid partnerships that are based upon honesty, integrity and respect. There will be no fee charged for this initial consultation and, outside of general observations, no specific recommendations will be made at this time. If we both determine that we may be able to establish a mutually beneficial relationship, a second appointment will be set.

Second Step: Data Gathering

During this meeting, our primary goal is to simply gather information on your specific situation. Our Director of Financial Planning will be in contact with you to schedule this appointment and will send to you a summary of the items that are helpful to have available such as bank statements, brokerage statements, 401(k)/retirement statements, tax returns, and any other important financial information. The more information that you provide, the more accurate we can make your proposal. It is also very important to clarify your dreams and goals. **We make it our priority to understand what is important to you so that we can help you work towards accomplishing what you value most in life.**

Third Step: Organize and Formalize

Behind the scenes, a lot goes on to organize and formalize a comprehensive financial plan that could help you work towards meeting your needs, goals, risk tolerance and time horizon. We compile the information that you have provided to us and construct a financial road map that will hopefully help you work towards accomplishing what you value most in life. In the initial plan, we will address your current assets and recommend an asset allocation strategy that addresses your goals and risk tolerance. We will also review your estate planning, tax strategizing, retirement planning, insurance, college funding, debt financing and cash flow analysis needs.

Fourth Step: Questions and/or Document Signing

Once we have made the decision to work together, we will contact you to complete any new account and transfer documents either in person or via electronic signature. All accounts will be opened as you currently have them at your bank and/or brokerage firm. For the most part, all publicly traded securities (outside of proprietary investments and annuities) are easily transferable from one firm to another. **We will handle the entire transfer and try to make it as seamless as possible for you. The process typically takes about 10 business days.**

LPL Financial does not charge any fees to transfer your accounts, however, your existing firm may charge an account closing fee, typically between $50 to $150 per account.

Step Five: Implementation Plan

After your account transfers are complete, we will research each investment that you currently own and formulate an implementation plan. We analyze available research through LPL Financial's independent research department as well as Fi360, Morningstar, and Moody's to assist us with determining which investment still makes sense for you to own. **We take into consideration any capital gains and losses as well as any costs to liquidate the holdings.** Then, we sort each investment into the appropriate asset class—holding what we believe are sound investments and selling what we believe are no longer appropriate. Our goal is to create an asset allocation strategy and a comprehensive financial plan that is specifically designed for your needs, goals, and risk tolerances.

Sixth Step: Confirmations and Statements

After all the trades have been placed, we will reach out to make sure you received your trade confirmations and review where we are in the process. We will also reach out to review your first statement and online account view with us, either via phone or in our office, to make sure you clearly understand everything.

Seventh Step: Ongoing Monitoring and Periodic Review

It is important for you to understand that we will continue to monitor the economy, markets, investment climate and your portfolio on an ongoing basis. You are not alone. Our goal is to proactively anticipate your needs before you even know you have one. Although not mandatory, we encourage a quarterly check-up of your portfolio and financial condition. This is a good opportunity for us to discuss the current economic and market environment and how they may be affecting your portfolio. We also recommend periodic comprehensive reviews of your total wealth situation at least annually. At this time, we will discuss your objectives and accounts in detail. The goal of the reviews is to accomplish four things:

1. Examine the progress of your overall plan.
2. Ensure that the services we provide not only meet your expectations, but also exceed them.
3. Obtain any updated personal and/or financial information from you.
4. Determine if your goals, objectives, and risk tolerances have changed.

It is important for you to let us know if your situation has changed so that we may make any changes, if necessary. During these meetings, we will also use our internal performance reporting software to provide you with detailed performance information. These reports are also readily available to you at any time upon request.

Our Fee Schedule

Our compensation is typically paid through advisory accounts and is calculated based on assets under management. These fees are calculated annually and charged quarterly.

$45,000,000 +	.25%
$40,000,000 - $44,999,999	.27%
$35,000,000 - $39,999,999	.30%
$30,000,000 - $34,999,999	.35%
$25,000,000 - $29,999,999	.40%
$20,000,000 - $24,999,999	.45%
$15,000,000 - $19,999,999	.50%
$11,000,000 - $14,999,999	.55%
$8,000,000 - $10,999,999	.65%
$5,000,000 - $7,999,999	.75%
$4,000,000 - $4,999,999	.85%
$2,000,000 - $3,999,999	1.00%
$1,000,000 - $1,999,999	1.15%
$500,000 - $999,999*	1.30%

**For the benefit of our existing clients, we only bring on 12-15 new clients per year. Clients with investable assets under $1,000,000 will be reviewed and considered on a case-by-case basis.*

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